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Vincent BOITTIAUX

Name of Person Mailing Correspondence

Signature Person Mailing Correspondence

JO Rec'd PCT/PTO 08 APR 2002

#5

Case RN99060

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In the Application of: Mathias DESTARAC, Dominique CHARMOT, Xavier Franck, and Samir ZARD**

**National Phase of PCT/FR00/01438**

**Examiner: To be assigned**

**International Filing Date: May 26, 2000**

**Serial Number: 09/980,387**

**Art Unit: To be assigned**

**Filed: November 30, 2001**

**For: PROCESS FOR SYNTHESIZING POLYMERS BY CONTROLLED FREE-RADICAL POLYMERIZATION WITH THE AID OF HALOGENATED XANTHATES**

Commissioner for Patents  
Washington, D.C. 20231

**SUBMISSION OF MISSING REQUIREMENTS**

Dear Sir:

This is in response to the Notification of Missing Requirements under 35 U.S.C. 371 (form PCT/DO/EO/905), dated February 13, 2002. A copy of from PCT/DO/EO/905 is enclosed.

04/12/2002 UEDUVIJE 00000093 181171 09980387

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**Declaration**

Applicants hereby submit a signed Declaration, bearing the International Application number and the International Filing Date, in compliance with 37 C.F.R. 1.497(a) and (b).

Please charge Deposit Account No. **18-1171** the amount of **\$130.00** to cover the cost of this submission.

The Commissioner is hereby authorised to charge any payment associated with this communication or credit any overpayment to Deposit Account No. **18-1171**.

In this connection, the Commissioner is in particular hereby authorized to charge payment of:

- any additional filing fees required under 37 C.F.R. 1.16, and
- any patent application processing fees under 37 C.F.R. 1.17, to Deposit Account No. **18-1171**.

“Sequence Listing”

It is set forth in the Notification of Missing Requirements that a biochemical Sequence Listing has been submitted, and that Applicants must provide a computer readable form, a paper or CD copy of the listing, and a statement in relation to this submission. However, Applicants submit that the Application does not contain any “Sequence Listing”. Therefore, Applicant requests that the requirement for providing “Sequence Listing” related documents be withdrawn.

RN99060

Serial number 09/98

**SUBMISSION OF MISSING REQUIREMENTS**

I.D.S.

Applicants provide an Information Disclosure Statement under 37 C.F.R. §§

1.56, 1.97, and 1.98, in an attached document.

Respectfully submitted,

April 2, 2002

Rhodia Inc.  
259 Prospect Plains Road  
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By John A. Shedden

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
United States Patent and Trademark Office  
Washington, D.C. 20533  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,387	Mathias Destarac	RN99060

INTERNATIONAL APPLICATION NO.
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PCT/FR00/01438

I.A. FILING DATE	PRIORITY DATE
05/26/2000	06/04/1999

John Daniel Wood  
Rhodia INC.  
259 Prospect Plains Road  
CN 7500  
Cranbury, NJ 08512

CONFIRMATION NO. 9854

## 371 FORMALITIES LETTER



\*OC00000007467983\*

Date Mailed: 02/13/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

4/13/02 Declaration due

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - APPLICANT MUST PROVIDE:
    - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
    - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.

09/980,387

INTERNATIONAL APPLICATION NO.

PCT/FR00/01438

ATTY. DOCKET NO.

RN99060

FORM PCT/DO/EO/905.(371 Formalities Notice)